



# LYKIS LIMITED



CIN L74999WB1984PLC038064, E-mail : lykisho@lykisgroup.com

**Regd. Office :**

14, N. S. Road, 3rd Floor  
Sathi Chamber, Room No.-314B  
Kolkata - 700 001, Tel. : 033 2262 5265

**Corp. Office :**

Morya Classic, 405/406, 4th Floor,  
Opp. Infinity Mall, Off New Link Road  
Andheri (W), Mumbai - 400 053, Tel. : 022 6123 6123

**Garden :**

**IRINGMARA TEA ESTATE**

P. O. Dwarbund - 788113  
Dist. : Cachar (Assam)

Format of Quarterly Compliance Report on Corporate Governance

Annexure – XI to the Listing Agreement

Name of the Company : LYKIS LIMITED

Scrip Code : 530689

Quarter ending on : 31<sup>st</sup> March, 2015

Particulars	Clause of Listing agreement	Compliance Status Yes/No/N.A	Remarks
<b>I. Board of Directors</b>	49 (I)	YES	Complied
(A) Composition of Board	49 (IIA)	YES	Complied
(B) Independent Directors	49 (IIB)	YES	Complied
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	YES	Complied
(D) Other provisions as to Board and Committees	49 (IID)	YES	Complied
(E) Code of Conduct	49 (IIE)	YES	Complied
(F) Whistle Blower Policy	49 (IIF)	YES	Complied
<b>II. Audit Committee</b>	49 (III)	YES	Complied
(A) Qualified & Independent Audit Committee	49 (IIIA)	YES	Complied
(B) Meeting of Audit Committee	49 (IIIB)	YES	Complied
(C) Powers of Audit Committee	49 (IIIC)	YES	Complied
(D) Role of Audit Committee	49 (IIID)	YES	Complied
(E) Review of Information by Audit Committee	49 (IIIE)	YES	Complied
<b>III. Nomination and Remuneration Committee</b>	49 (IV)	YES	Complied
<b>IV. Subsidiary Companies</b>	49 (V)	YES	Complied
<b>V. Risk Management</b>	49 (VI)	YES	Complied
<b>VI. Related Party Transactions</b>	49 (VII)	YES	Complied
<b>VII. Disclosures</b>	49 (VIII)	YES	Complied
(A) Related Party transactions	49 (VIII A)	YES	Complied
(B) Disclosure of Accounting Treatment	49 (VIII B)	YES	Complied
(C) Remuneration of Directors	49 (VIII C)	YES	Complied
(D) Management	49 (VIII D)	YES	Complied
(E) Shareholders	49 (VIII E)	YES	Complied
(F) Proceeds from public issues, rights issue, Preferential issues, etc	49 (VIII F)	YES	Complied
<b>VIII. CEO/CFO Certification</b>	49 (IX)	YES	Complied
<b>IX. Report on Corporate Governance</b>	49 (X)	YES	Complied
<b>X. Compliance</b>	49 (XI)	YES	Complied

**Note:**

1. The details under each head shall be provided to incorporate all the information required as per the provision of the Clause 49 of the Listing Agreement.

2. In the column No.3, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the Clause 49 I of the Listing Agreement, "Yes" may be indicated. Similarly in case the company has no related party transactions, the words "N.A" may be indicated against 49(VII).

3. In the remarks column, reasons for non-compliance may be indicated, for example, in case of requirement related to circulation of information to the shareholders, which would be done only in the AGM/EGM, it might be indicated in the "Remarks" column as – "will be complied with at the AGM". Similarly, in respect of matters which can be complied with only where the situation arises, for example, "Report on Corporate Governance" is to be a part of Annual Report only, the words "will be complied in the next Annual Report" may be indicated.

**For LYKIS LIMITED**

*Udayan Bal*  
Compliance Officer  
10/04/2015